Under the Pasterwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

06504/HG

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/590,305 PRIORITY DATE CLAIMED 25 February 2004 INTERNATIONAL FILING DATE 24 February 2005 INTERNATIONAL APPLICATION NO. PCT/JP2005/003545 TITLE OF INVENTION INDANOL DERIVATIVE APPLICANT(S) FOR DO/EO/US Takahide NISHI, Toshiyasu TAKEMOTO, Takuya IKEDA and Kiyoshi MORIMOTO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. Is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). Is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Express Mail Label No. EV 902 269 418 US

Date of Deposit: October 12, 2006

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, araine Dele

Alexandria VA 22313-1450

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

20. X

Other items or information:

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0851-0021
U.S. Patent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are regulated to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER

10/590,305		, 300 37 07 17 1.		PCT/JP2005/003545		06504/HG		
The follow	ing fees have b	een submitted		<u> </u>		PTO USE	ONLY	
21. Basic n	ational fee	•••••		8300	\$			
22. Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$			
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority					\$			
TOTAL OF 21, 22 and 23 =					\$			
sequence listin	g or computer	program listing fi	ed in paper over 100 sheats (eled in an electronic medium). of paper or fraction thereof.	excluding				
Total Sheets	Extra Sheets		lumber of each additional 50 or fraction hereof (round up to a whole number)					
- 100 =	1 /50 =		3	x \$250	\$	<u> </u>		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 130.0	0		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		- 20 =		x \$50	\$			
Independent claims		-3=		x \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$			
TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$			
Applicant claims	s small enuty st	aws. 366 37 CF	R 1.27. Fees above are redu	SUBTOTAL =	\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(I)).					s			
claimed phonty date	(37 CFR 1.492	(1)).	TOTA	L NATIONAL FEE =	\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00			
TOTAL FEES ENCLOSED =					\$ 170.00			
2006 ATRAN1 00000125 10590305					Amount to be refunded:	\$.00	
1617	1	30.00 OP			Amount to be charged:	\$.00	
		\$		ove fees is enclosed.				
A duplicate	copy of this s	neet is enclosed						
	lssioner is here 5. 06-1378	by authorized to A duplicate co	charge any additional fees w py of this sheat is enclosed.	hich may be required,	or credit any overpaymo	ent to Deposi	t	
d. Fees are to be include	be charged to d on this form	a credit card. ₩ . Provide credit	ARNING: Information on this card information and authorize	form may become pub ation on PTO-2038	olic/Credit card inform	ation should	l not	
			CFR 1.495 has not been me don to pending status.	t, a patition to favive	(37 CFR 1.137(a) or (b	o)) must be fi	jled	
SEND ALL CORRES	SPONDENCE 1	·O:		1114	1			
CUSTO	MER	NO. 01	1933	SIGNATURE				
Marshall J. Ch Frishauf, Holtz	ick			Marshall J. CI	nick			
220 5TH AVE F	L 16			26,853				
NEW YORK NY 10001-7708 REGISTRATIO					ON NUMBER		-	

·-- D.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln No. : 10/590,305

Int'l No. : PCT/JP2005/003545

Applicant(s): Takahide NISHI et al.

Deposited : August 23, 2006

For : INDANOL DERIVATIVE

Art Unit

Examiner :

Docket No. : 06504/HG

Confirm. No.:

Customer No.: 01933

SUBMISSION OF SIGNED DECLARATION
AND ASSIGNMENT

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: MAIL STOP PCT

SIR:

The NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) has not been received as yet in the above-identified application.

However, to expedite processing, enclosed herewith is the executed Declaration dated September 11, 2006 (first three inventors) and September 23, 2006 (fourth inventor). Please enter it in the file of the above-identified application.

Express Mail Mailing Label No.: EV 902 269 418 US Date of Deposit: October 12, 2006

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231371450

Laraine Dobies

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by payment attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

Tole

Form PTO-2038 for the sum of \$130.00 is attached to cover the surcharge for filing the Declaration on a date later than the filing date of the application. If any further fees are required, authorization is given to charge same against Account No. 06-1378.

Also attached hereto is an executed Assignment and PTO-1595 Form, along with a check in the amount of \$40.00, to cover the Assignment recordation fee.

Frishauf, Holtz, Goodman & Chick, P.C.
220 Fifth Ave., 16th Floor New York, NY 10001-7708
Tel. No. (212) 319-4900

Fax No.: (212) 319-5101

MJC/ld

Respectfully submitted

MARSHALL J. CHICK Reg. No. 26,853